

2020/21 Annual Audit Plan	Dept	Audit Days Budget 2018/19
<b>KEY FINANCIAL SYSTEMS REVIEWS</b>		
Business Rates	Resources	10
Adult and Children's Social Care Payment Processes	Resources	20
Council Tax	Resources	5
Creditors	Resources	10
Debtors	Resources	10
Housing Benefits	Resources	10
Housing Rents & Accounting	Health, Wellbeing & Adults	10
Housing Repairs - Preventative & Reactive	Place	15
Main Accounting System	Resources	5
Parking Enforcement & Tickets (Cash Collection)	Place	10
Payments to Schools (Include licensed deficit process)	Resources	10
Payroll	Resources	10
Pensions	Resources	10
Treasury Management	Resources	10
Follow-up of audits		10
<b>Total Key Financials Audits</b>		<b>155</b>
<b>CORPORATE RISK AUDITS</b>		
Ad Hoc Payments	Corporate	15
Organisational Resilience	Corporate	10
Overtime Payments	Corporate	10
Service Based Budget Monitoring: Across the Organisation	Corporate	20
Staff Expenses - Compliance checks	Corporate	15
Staff Parking and Travel To Work	Corporate	20
Follow up of audits		6
<b>Total Corporate Risk Audits</b>		<b>96</b>

DEPARTMENTAL RISK AUDITS		
Schools PFI Council Funding	Children, Families and Education	10
Various Social Care Audits to be determined following Ofsted	Children, Families and Education	40
"Ordinary Residents"	Health, Wellbeing & Adults	10
Adults Social Care Placements (Dynamic Purchasing System)	Health, Wellbeing & Adults	10
Blue Badges	Health, Wellbeing & Adults	10
Care Units Insourced	Health, Wellbeing & Adults	10
Clinical Governance	Health, Wellbeing & Adults	10
Continuing Healthcare	Health, Wellbeing & Adults	10
Council Owned Temporary Accommodation: Concierge and	Health, Wellbeing & Adults	10
Disabled Facilities Grants	Health, Wellbeing & Adults	10
Homelessness: Voids	Health, Wellbeing & Adults	10
Out of Borough Adult Social Care Placements	Health, Wellbeing & Adults	10
Public Health: Contracts Management	Health, Wellbeing & Adults	15
Temporary Accommodation: Standards in Private Sector	Health, Wellbeing & Adults	15
Transforming Care	Health, Wellbeing & Adults	10
Placement Deposits	Health, Wellbeing & Adults / Children, Families and Education	15
Localities: Performance Data	Health, Wellbeing & Adults	10
Apprenticeships	Place	10
Corporate Estate: Building Compliance	Place	15
Croydon Affordable Homes: Contract Management	Place	10
Croydon Works	Place	10
Emissions Based Parking Charges	Place	10
Health and Safety: Job Risk Assessments	Place	10
Housing Need and Supply: Roles and Responsibilities	Place	10
Selective Licensing	Place	10
SEN Transport - Safeguarding	Place	10
SLWP - Payments and Recharging Processes	Place	10

Walking and Cycling Schemes	Place	10
Agency Staff - Internal Recharges	Resources	10
CDS Contract Management	Resources	15
CDS Governance/Structure/Engagement	Resources	10
Establishment Control	Resources	10
Loans and Investments (Non Treasury)	Resources	10
Long Term Sick and Maternity Sick leave	Resources	10
New Supplier Set up	Resources	10
Right To Work checks	Resources	10
Follow up of audits		44
<b>Total Departmental Risk Register Audits</b>		<b>459</b>
<b>COMPUTER AUDITS</b>		
Security Management	Resources	20
Operating Systems	Resources	20
Software Licensing (FAST)	Resources	10
Application Support	Resources	10
Cyber	Resources	20
Follow up of audits		10
<b>Total Computer Audits</b>		<b>90</b>
<b>CONTRACT AUDITS</b>		
Various Contract Audits	TBC	20
CCTV Procurement	Resources	10
Telephony Procurement	Resources	10
Capital programme - Regeneration	Place	10
Emergency and Temporary Accommodation (Phase 3) (incl	Resources	20
Buying Team	Resources	10
Follow-up of audits		10
<b>Total Contract Audits</b>		<b>90</b>

SCHOOLS AUDITS		
<b>Primary &amp; Nursery Schools</b>		
Tunstall Nursery School	Children, Families and Education	5.5
Thornton Health Early Years Centre	Children, Families and Education	5.5
Forestdale Primary School	Children, Families and Education	5.5
Greenvale Primary School	Children, Families and Education	5.5
Purley Oaks Primary School	Children, Families and Education	5.5

Smitham Primary School	Children, Families and Education	5.5
Winterbourne Nursey and Infants School	Children, Families and Education	5.5
<b>Secondary Schools</b>		
Archbishop Tennysons High School	Children, Families and Education	7.5
Thomas Moore High School	Children, Families and Education	7.5
<b>PRU's &amp; Special Schools</b>		
St Giles	Children, Families and Education	5.5
St Nicholas	Children, Families and Education	5.5
Red Gates	Children, Families and Education	5.5
Follow-up of Schools audits		14
<b>Total Schools Audits</b>		<b>84</b>
<b>CONTINGENCY</b>		
Contingency for fraud including NFI and other ad hoc audits		25
Contingency for Grant Claims		15
<b>Total Contingency</b>		<b>40</b>
<b>ADMIN AND MANAGEMENT</b>		
<b>Total Admin and Management</b>		<b>40</b>
<b>GRAND TOTAL BUDGET</b>		<b>1054</b>